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# Observing Exoplanets with the JWST NIRCams grisms

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## ABSTRACT

The near-infrared camera (NIRCams) on the James Webb Space Telescope (JWST) will incorporate 2 identical grisms in each of its 2 long wavelength channels. These transmission gratings have been added to assist with the coarse phasing of the JWST telescope, but they will also be used for slitless wide-field scientific observations over selectable regions of the  $\lambda = 2.4 - 5.0 \mu\text{m}$  wavelength range at spectroscopic resolution  $R \equiv \lambda/\delta\lambda \simeq 2000$ . We describe the grism design details and their expected performance in NIRCams. The grisms will provide point-source continuum sensitivity of approximately AB = 23 mag in 10,000 s exposures with S/N = 5 when binned to  $R = 1000$ . This is approximately a factor of 3 worse than expected for the JWST NIRSpec instrument, but the NIRCams grisms provide better spatial resolution, better spectrophotometric precision, and complete field coverage. The grisms will be especially useful for high precision spectrophotometric observations of transiting exoplanets. We expect that  $R = 500$  spectra of the primary transits and secondary eclipses of Jupiter-sized exoplanets can be acquired at moderate or high signal-to-noise for stars as faint as M = 10 – 12 mag in 1000 s of integration time, and even bright stars (V = 5 mag) should be observable without saturation. We also discuss briefly how these observations will open up new areas of exoplanet science and suggest other unique scientific applications of the grisms.

**Keywords:** NIRCams, grisms, JWST, near-infrared, exoplanets, spectroscopy

## 1. INTRODUCTION

The James Webb Space Telescope (JWST) is being designed and developed to be a large observatory (6.5-m diameter primary mirror) that will be operated at cold temperatures ( $T < 40 \text{ K}$ ) with exquisite sensitivity over near- and mid-infrared (IR) wavelengths. The primary mirror will consist of 18 hexagonal segments that will be deployed from stowed positions after launch and will be co-phased so that the telescope provides diffraction-limited performance at near-IR and longer wavelengths. The primary coarse segment phasing approach is to insert arrays of dispersed Hartmann sensor (DHS) elements in the NIRCams<sup>1</sup> instrument's pupil wheel while pointed at a bright star, analyze the resultant data on the ground, command movements of the segments, and repeat the process until the segments are within the range of the fine phasing process<sup>2</sup> (200 nm phase step errors).

The JWST project reviewed this coarse phasing approach and decided to augment it with a secondary approach that would provide a larger capture range, allowing the segments to have larger initial piston errors. This Dispersed Fringe Sensing (DFS) technique involves using a monolithic transmission grating (or grism) with a clear aperture large enough to accommodate the entire telescope pupil (the image of all primary segments), whereas each DHS element spans only the edges of adjacent segments. Two identical grisms are used in 2 pupil wheel positions, with the grisms rotated 90 degrees apart so that either of 2 perpendicular dispersion directions can be selected. The DFS and DHS approaches use the same fringe analysis algorithm to sense the wavefront piston, and the DFS approach can also directly measure segment piston without global reconstruction; it is

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robust against severe segment displacement errors. The DFS technique has been modeled and demonstrated to have performed well for JWST.<sup>3</sup>

The DFS gratings can also be used for scientific observations, providing near-IR slitless spectra when used in NIRCcam (similar to the gratings in the NICMOS Hubble Space Telescope instrument; see <http://www.stsci.edu/hst/nicmos/performance/spectroscopy>). The NIRSpec JWST instrument is a capable multi-object near-IR spectrograph, but slitless grating observations with NIRCcam provide advantages in several applications. First, even the NIRCcam longwave channel has a finer pixel scale than NIRSpec (0.065 vs. 0.100 arcseconds per pixel), so NIRCcam would be capable of making higher spatial resolution spectroscopic observations. The NIRCcam longwave channel critically samples the JWST diffraction-limited PSF at  $\lambda = 4.0\mu\text{m}$ . Second, slitless spectra would not suffer from slit losses, so high spectrophotometric precision observations can be made with NIRCcam even in the presence of pointing drifts or jitter. Third, the ability to dither the slitless spectra across the NIRCcam detector should allow for considerably better flat-fielding than staring with NIRSPEC. Fourth, NIRCcam slitless spectra completely sample the entire NIRCcam field ( $2.2' \times 4.4'$  for both modules), whereas the NIRSpec multi-object spectrograph operates through a microshutter array<sup>4</sup> that obscures a fraction of the NIRSpec field (9.7 square arc-minutes total field). Slitless NIRCcam spectra also have two primary disadvantages compared to NIRSpec. First, NIRSpec is more sensitive because its multishutter array blocks most of the astronomical background. Second, Slitless NIRCcam spectra may overlap and become confused in crowded regions where many sources are present, whereas this can be prevented with NIRSpec's microshutter array.

## 2. GRISM DESIGN

The grism design is driven by the wavefront sensing requirements of achieving maximum segment piston capture range and good resolution of segment piston positions (small minimum detectable piston). Large capture range drives the design to producing spectra at the longest possible wavelengths, and a small minimum detectable piston drives the design toward low spectroscopic resolution. These effects are coupled such that low spectral resolutions provide small minimum detectable pistons, but their relatively small short wavelength cut-ons reduce the maximum piston capture range.

These factors drove the long wavelength grism cutoff to be equal to NIRCcam's long wavelength cutoff,  $\lambda = 5.0\mu\text{m}$ . The adopted design also specifies that the spectroscopic resolution be  $R \equiv \lambda/\delta\lambda \simeq 2000$ , producing a central undeviated wavelength  $\lambda = 4.0\mu\text{m}$  and a short wavelength cutoff  $\lambda = 3.0\mu\text{m}$  on NIRCcam's  $2048 \times 2048$  pixel longwave detector array (with 2 pixels per resolution element). The large operating wavelength range dictates that the gratings operate in first order,  $m = 1$ .

These nominal wavelength cutoffs and undeviated wavelength are realized only when a source is located at the center of the field of view. Displacing a source toward the long-wavelength dispersion direction causes wavelengths shorter than  $3.0\mu\text{m}$  to strike the short wavelength end of the detector and wavelengths shorter than  $5.0\mu\text{m}$  to strike the long wavelength end of the detector. Likewise, displacing a source toward the short-wavelength dispersion direction causes wavelengths longer than  $3.0\mu\text{m}$  to strike the short wavelength end of the detector and wavelengths longer than  $5.0\mu\text{m}$  to strike the long wavelength end of the detector. However, the detector is not sensitive to wavelengths longer than about  $5.0\mu\text{m}$ .

The gratings will be installed in the pupil wheels of only the NIRCcam longwave channels, which operate over  $\lambda = 2.4 - 5\mu\text{m}$  (2 identical gratings in each of the 2 identical longwave channels). The NIRCcam pupil wheels (located at the actual system pupil) are in series with filter wheels, so the gratings must be used in series with a filter (also needed to reject higher spectral orders). Table 1 gives the wavelength cutoffs and spectral lengths for continuum sources located within the field such that both the short ( $\lambda_1$ ) and long ( $\lambda_2$ ) wavelength limits fall on the detector for several filters.<sup>5</sup> See also <http://ircamera.as.arizona.edu/nircam/> for a complete filter list and other NIRCcam information.

The adopted grism design provides minimum and maximum detectable wavefront error of approximately  $0.12\mu\text{m}$  and  $291\mu\text{m}$  in piston between 2 segments, somewhat dependent on which filters are used. Errors larger than this maximum piston can be detected directly from the telescope point spread function.

Several practical issues also drove the grism design. First, the NIRCcam pupil and filter wheels are spaced closely, separated by only about 10 mm. The elements in these wheels are also large, with 48 mm diameters and

Table 1. Ranges of grism spectra.

Filter	$\lambda_1$	$\lambda_2$	# pixels	# pixels/2048
F277W	2.42	3.12	696	0.34
F322W2	2.42	4.03	1600	0.78
F356W	3.12	4.01	885	0.43
F410M	3.90	4.31	408	0.20
F444W	3.89	5.00	1104	0.54

Table 2. Basic grism specifications

Material	Optical grade monocrystalline Si
Operating temperature	$\sim 35$ K
groove frequency	$65 \text{ mm}^{-1}$
prism angle	$6.16^\circ$
blaze angle	$5.75^\circ$
maximum thickness	10.0 mm
Diameter	48.0 mm, circular
Clear Aperture	42.0 mm, circular
AR coating	2.4 - 5 $\mu\text{m}$ , both sides

42 mm clear apertures. This requires the grism to have a small prism angle, less than about 10 degrees. Given this small angle, the relatively high resolution ( $R \simeq 2000$ ) dictates a relatively large refractive index,  $n \gtrsim 2.5$ , as derived from standard first-order grism design equations.<sup>6</sup> The grism material must also maintain high and uniform optical transmission after exposure to a significant dose of cosmic radiation over the lifetime of JWST. These constraints drove us to select monocrystalline Si as the grism material.

The blaze angle was chosen to maximize efficiency near  $\lambda = 3.7\mu\text{m}$ , the average of all wavelengths to be observed with the grisms for sources located anywhere in the field. This is slightly different from the undeviated wavelength ( $\lambda = 4.0\mu\text{m}$ ), so the blaze angle is offset slightly from the prism angle. The grisms are now being procured, and their basic manufacturing specifications are given in Table 2.

### 3. ESTIMATED PERFORMANCE

#### 3.1 Optical Performance

Several aspects of the grism performance have been modeled or estimated. The grism blaze efficiency was calculated with PCGRATE (<http://www.pcgrate.com/>). The peak first order blaze efficiency was found to be 0.87 assuming zero reflective losses (perfect AR coatings on both the flat entrance face and grooves), with the blaze peak located very close to the  $\lambda = 3.7\mu\text{m}$  specification used for the first order design. The efficiency is greater than or equal to 0.83 times the peak for 3.1 – 5.0  $\mu\text{m}$  wavelengths (the primary grism range) and falls to 0.4 times the peak at the  $\lambda = 2.4\mu\text{m}$ , the short wavelength cutoff of the NIRCcam longwave channels. These efficiencies assume perfect sawtooth grating profiles, and the actual absolute efficiency is likely to be somewhat lower because of imperfect groove profiles and AR coatings.

The imaging performance of the grisms was modeled by adding surfaces representing the grism to the Code V optical model of NIRCcam. The layout of this configuration is shown in Figure 1. Code V was then used to verify the wavelength coverage and measure the quality of NIRCcam images with the grism in place. Figure 2 shows that the wavelength locations and dispersion are very close to the predictions of the first order design; the wavelengths 3.0 – 5.0  $\mu\text{m}$  are imaged onto the detector, and the central undeviated wavelength is  $\lambda = 4.0\mu\text{m}$ .

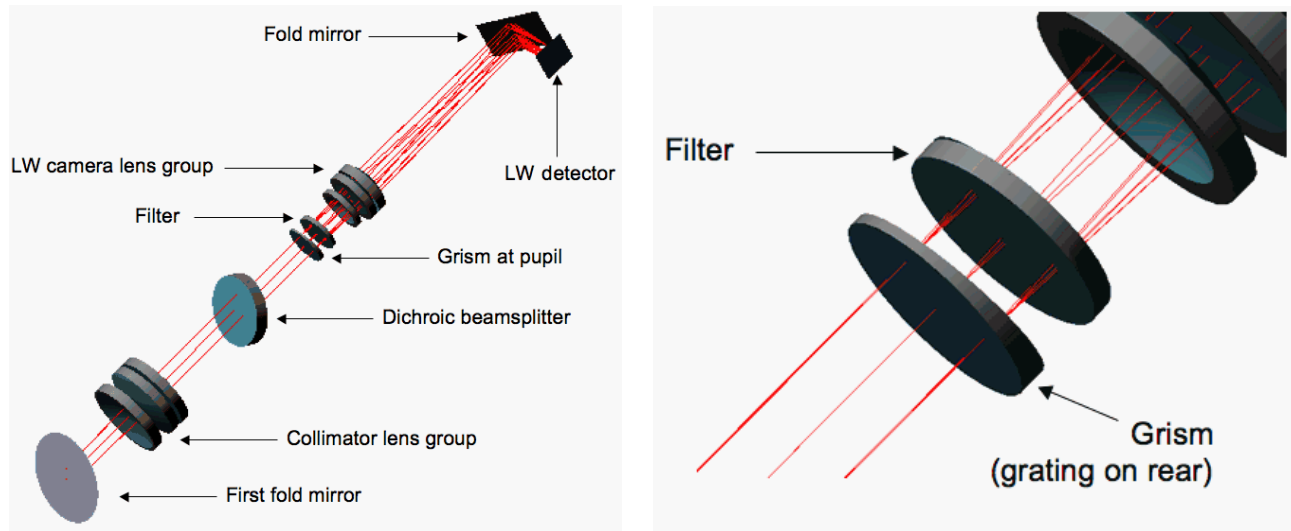


Figure 1. Optical layout of a NIRCам longwave (LW) channel with a grism. Left panel: Optical components are indicated, and light enters NIRCам from the first fold mirror at the bottom left. Right panel: Detail of the grism and filter region. Incoming light strikes the flat entrance face of the grism; its grating surface is on the rear side.

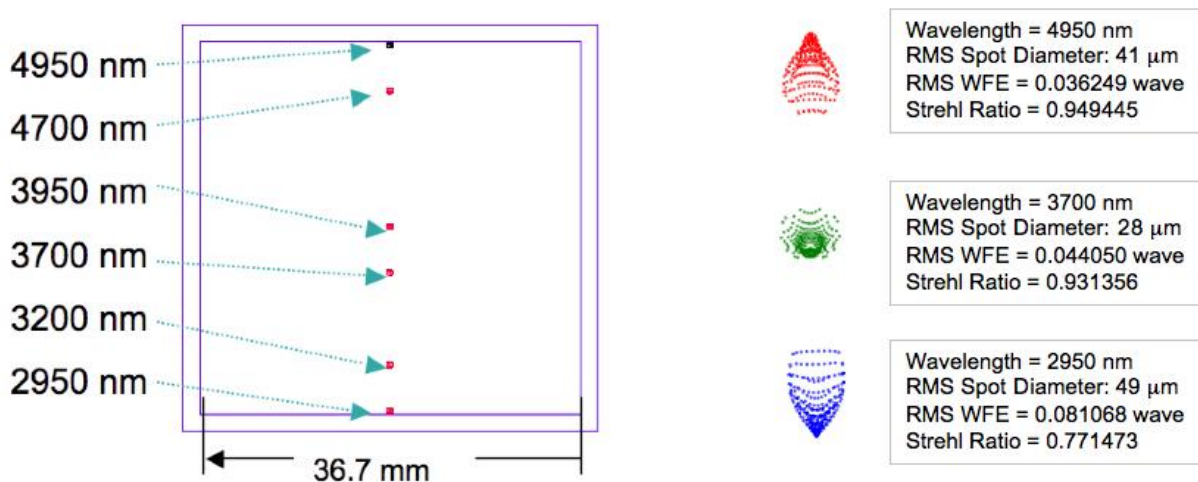


Figure 2. Optical performance of a NIRCам longwave (LW) channel with a grism for a point source in the center of its field. The left side shows that the wavelengths  $3.0 - 5.0 \mu\text{m}$  are imaged onto the detector with a central undeviated wavelength  $\lambda = 4.0 \mu\text{m}$  as prescribed in the first order design. The right side shows the optical spot pattern and image quality measures at 3 wavelengths at the edges and center of the detector. The spot sizes compare favorably to the width of 2 detector pixels ( $36 \mu\text{m}$ ).

This figure also shows that NIRCам has good image quality with the grism for a source located in the center of the field of view. RMS spot sizes are approximately equal to the width of 2 pixels (where each pixel is  $18 \mu\text{m}$  in size), indicating that the grisms will achieve close to their predicted spectroscopic ( $R \simeq 2000$ ) and spatial resolutions. We have also determined that good image quality ( $\sim 2$  pixel spots) is maintained over much of the entire field for wavelengths  $\lambda \gtrsim 3 \mu\text{m}$ . However, image quality degrades somewhat at shorter wavelengths, with RMS spot sizes reaching approximately 4 pixels at the  $\lambda = 2.4 \mu\text{m}$  cutoff. Only objects near 1 edge of the field will have spectra at such short wavelengths, and their resolutions will be degraded as indicated by the spot sizes.

Table 3. Sensitivities of NIRCcam grism observations for  $t = 10,000$  s total real time observations for  $S/N = 5$  and binned to  $R = 1000$ . See text for further explanation.

Filter	F277W	F322W	F356W	F410M	F444W
$\lambda_{ctr}$ ( $\mu\text{m}$ )	2.77	3.22	3.56	4.10	4.44
$F_{cont}$ ( $\mu\text{Jy}$ )	2.0	2.5	1.8	1.7	3.3
AB mag	23.2	22.9	23.3	23.4	22.6
$F_{line}$ ( $10^{-15}$ W m $^{-2}$ )	4.4	4.7	3.1	2.5	4.5
$F_{line}$ ( $10^{-18}$ erg s $^{-1}$ cm $^{-2}$ )	4.4	4.7	3.1	2.5	4.5

### 3.2 Sensitivity Estimates

Point-source sensitivity estimates for the NIRCcam grisms were derived from the NIRCcam imaging sensitivity model spreadsheet obtained from M. Rieke, the NIRCcam PI. The background, throughput, and detector performance information contained in this spreadsheet are given in the document JWST-CALC-003894, JWST NIRCcam Sensitivity Calculations, available at <http://www.stsci.edu/jwst/externaldocs/technicalreports/JWST-STScI-001007.pdf>. The NIRCcam point source imaging sensitivity values were scaled from the spreadsheet calculations after adopting a conservative peak transmission efficiency factor of 0.60 for the grism and applying an approximate blaze function shape matching the PCGRATE results. Sensitivities were calculated assuming that background photons over the entire bandpass of the selected filter are collected in each detector pixel, but the radiation from a point source has a bandwidth of only  $\lambda/4000$  per pixel. No penalty for source confusion was applied. The NIRCcam photometric sensitivities in the spreadsheet model were calculated for circular apertures 5 pixels ( $0.33''$ ) in diameter, considerably larger than the diffraction-limited resolution size at most wavelengths. Therefore an effective resolution size of 4 spectral pixels ( $R = 1000$ ) and 5 spatial pixels was chosen for deriving the sensitivities shown in Table 3.

The value  $F_{cont}$  in Table 3 is the point source continuum sensitivity in  $\mu\text{Jy}$  for a  $5\sigma$  detection in 10,000 s total real time ( $\sim 9500$  s total integration time). This continuum point source sensitivity is also given in AB magnitudes. The two  $F_{line}$  values are sensitivities to unresolved emission lines integrated over a  $4 \times 5$  pixel,  $R=1000$  resolution element.

JWST NIRSPEC is predicted to have a continuum sensitivity of 630 nJy for multi-object spectroscopy at  $R = 1000$  for a 10,000 s total exposure with  $S/N = 5$  in the  $\lambda = 4.0\mu\text{m}$  region. This is about 3 – 4 times better than using the NIRCcam grisms, so equivalent NIRCcam observations would take 10 times longer or more. Sensitivity to unresolved line flux also scales in similar fashion. However, the NIRCcam grisms are more competitive with NIRSpec at shorter integration times because NIRSpec is always detector noise limited at  $R = 1000$  and NIRCcam is detector noise limited only for short exposures,  $t \lesssim 100$  s. The continuum sensitivities of both NIRCcam and NIRSpec should both be about 9  $\mu\text{Jy}$  for  $S/N = 5$  with a total of 500 s integration time consisting of 5 separate 100 s exposures. NIRCcam does not achieve greater advantage for shorter exposure times  $t < 100$  s because then NIRCcam also becomes detector-noise limited (except in the very wide F322W2 filter which does not become detector-noise limited until  $t \sim 50$  s).

### 3.3 Saturation Limits

The high dispersion of the grisms allow observations of very bright objects despite NIRCcam's relatively long 10.6 s full frame integration time and modest detector full well requirement of  $7.2 \times 10^4$  electrons. The NIRCcam point source imaging saturation limits were scaled by the transmission efficiency and dispersion of the grisms, and this resulted in saturation limits of 500 mJy – 1 Jy in the 5 medium and wide band filters that are listed in Table 3. This allows observation of solar-type stars as bright as  $K = 6 - 7.4$  mag ( $9.0 - 9.7$  AB mag) to be observed without saturation. Solar-type stars as bright as  $K = 3.6$  mag ( $V = 5.0$  mag) can be observed with any grism / filter combination without saturation when using a standard  $1024 \times 16$  pixel subarray detector readout at its corresponding minimum integration time of 0.32 seconds.

## 4. TRANSITING EXOPLANET OBSERVATIONS WITH THE NIRCAM GRISMS

The NIRCcam grisms are particularly well suited for observing transiting extrasolar planets. Transiting exoplanets provide the opportunity to separate the flux from an exoplanet and its parent star by making sensitive differential measurements at appropriate times (or phases) of an exoplanet's orbit.<sup>7</sup> Much is being learned about extrasolar planets from precision photometric and spectroscopic observations as they transit and are eclipsed by their host stars. This is especially powerful for transiting exoplanets with known radial velocities (RVs), as the combination of transit and RV data yields planetary masses, radii, densities, orbital distances (and periods), and potentially compositions. NIRCcam transiting exoplanet targets will come from radial velocity and ground-based transit surveys, from space-based transit missions such as Kepler and CoRoT, and from surveys by JWST itself of small regions of special interest such as globular clusters. Potential follow-up opportunities with JWST/NIRCcam will include precision photometry to search for rings and moons, transit timing to look for orbital perturbations by other massive bodies in the planetary system, and determination of light curves of non-transiting RV systems. In addition, the NIRCcam grisms offer the exciting prospect of making these observations at spectral resolutions  $R = 500 - 2000$  with signal-to-noise high enough to measure numerous important spectral features and atmospheric constituents over the  $\lambda = 2.4 - 5\mu\text{m}$  region. This section summarizes some of the opportunities and potential problems with NIRCcam grism observations of transits and light curves.

### 4.1 Host Stars and Observational Parameters

The magnitudes of potential target stars (Table 4) range from the very bright for planets found in RV studies or shallow, wide-angle transit surveys to the very faint for narrow angle transit surveys such as OGLE or with JWST itself. Potential spectral types of host stars range from G stars to M dwarf stars with the latter being particularly interesting because the small size of the star makes possible the detection of very small planets, possibly even as small as the Earth! Table 4 lists Johnson magnitudes from  $V = 5 - 20$  mag and for calculations with the NIRCcam grism, from  $M = 3 - 18$  mag. In what follows we use M band (F440W filter wavelength) magnitudes of 5, 10 and 15 mag to probe the capabilities of grism observations.

Table 4. Potential exoplanet host star targets

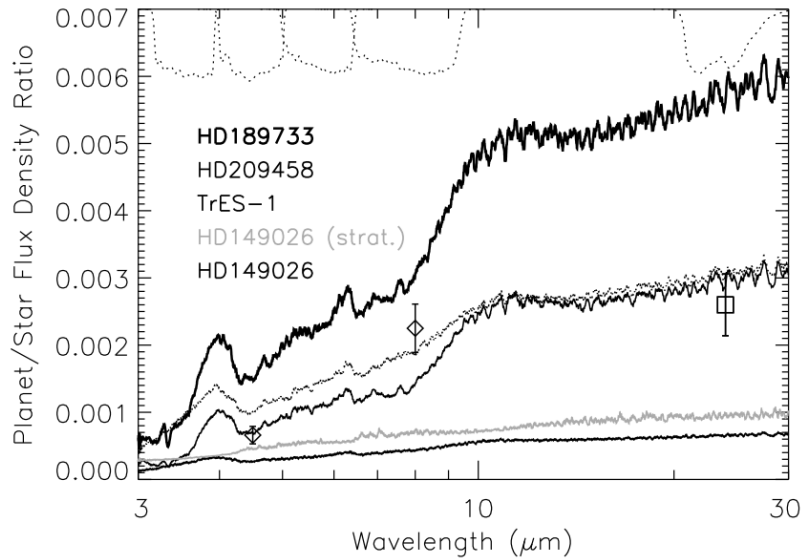
Technique	GV Star Brightness (Johnson mag)	M5V Star Brightness (Johnson mag)
Radial Velocity	V = 5 – 10 M = 3.5 – 8.5	V = 8 – 10 M = 3 – 5
Ground transit	V = 5 – 19 M = 3.5 – 17.5	V = 5 – 19 M = 3 – 14
Space Transit (Kepler/CoRoT)	V = 9 – 15 M = 7.5 – 13.5	V = 9 – 15 M = 4 – 10
JWST Transits (e.g. 47 Tuc)	V = 15 – 20 M = 8.5 – 18.5	V = 20 M = 15

To proceed further we estimate the flux contrast ratios for various star/planet combinations for both primary and secondary transits. In Table 5 the depth of the primary transit is the ratio of the appropriate planet ( $1 R_{\text{Jup}}$  and  $1 R_{\oplus}$ ) to stellar ( $1 R_{\odot}$  and  $0.5 R_{\odot}$ ) areas. The estimate for the secondary eclipse uses the same areal ratios times the ratio of blackbody emission from the star and planet where we have assumed planet emission of a 1500 K blackbody and stellar temperatures of 5500 K and 3000 K. As Figure 3 suggests, a blackbody is an overly simple characterization of a planet's spectrum, but we use it here simply for convenience.

The signal-to-noise ratio (SNR) estimates are based on number of detected photo-electrons from the star-planet system assuming an overall throughput of 0.20, an integration time  $t$ , and a resolving power  $R = 500$  (obtained by binning over  $\sim 8$  spectral pixels) which will be appropriate for all but the brightest systems. Lower resolutions may be needed for fainter stars and the SNR can be estimated by scaling by  $\sqrt{500/R}$ . The relevant

Table 5. Transit flux ratios at  $\lambda = 4.4\mu\text{m}$ 

	Primary Transit*		Secondary Eclipse**	
	G2 V Star	M5 V Star	G2 V Star	M5 V Star
Jupiter	0.010	0.160	0.0010	0.040
Earth	$8.4 \times 10^{-5}$	0.00134	$8.7 \times 10^{-6}$	0.00034
	*Ratio of areas of planets to 1.0 and 0.5 $R_{\text{Sun}}$ stars		**Ratio of areas times ratio of 1500K blackbody planet to 5500 K or 3000 K star.	

Figure 3. Spitzer photometry of a number of transiting systems (diamonds, TrES-1; square, HD 209458b) compared with theoretical models.<sup>8</sup>

measurable quantity is  $\phi t(\text{Planet}) = \pm[\phi t(\text{Star} \pm \text{Planet}) - \phi t(\text{Star})]$  where the signal,  $\phi$ , is measured in photo-electrons and the sign depends whether the event is a secondary eclipse or primary transit. The quantity  $\phi t(\text{Planet})$  is obtained by subtracting two grism datasets composed of averages of spectra measured in and out of eclipse. The noise in the measurement can be estimated from the root-sum-square (RSS) combination of the two main noise sources in each image: photon noise from  $\sqrt{2[\phi t(\text{Star}) + \phi t(\text{Background})]}$  and the “flat-field” noise  $\beta[\phi t(\text{Star}) + \phi t(\text{Background})]$  where  $\beta$  is a parameter which quantifies how well one can remove drifts, common-mode noise sources, pixel-to-pixel variations, etc. The factor of two comes from the fact that we must difference two spectra to get the signal of the exoplanet.

## 4.2 Data Quality Expectations

Table 6 provides SNRs in integration times of  $t = 1000$  sec for three limiting cases: 1) bright stars ( $M = 5$  mag;  $\phi = 8 \times 10^4$  elec sec<sup>-1</sup> at full  $R = 2000$  resolution) where flat field performance is critical compared with shot noise; 2) moderately bright stars ( $M = 10$  mag;  $\phi = 800$  elec sec<sup>-1</sup>) where both flat field and photon noise are important; and 3) faint stars ( $M = 15$  mag;  $\phi = 8$  elec sec<sup>-1</sup>) which are dominated by photon noise. It is important to note that in all these cases the dominant photon noise comes the star since the observatory background of under 2 elec sec<sup>-1</sup> in the F440W filter is small for even the faintest stars. Read noise is negligible. Saturation effects will have to be considered for bright sources, but integration times of 1 - 5 sec should be adequate for  $M = 5$  mag sources once the spreading of light over a number of spatial and spectral pixels is taken into account. These and brighter stars can be observed easily in sub-array mode which allows very short integration times for subregions of the detector.



Table 6. SNRs for transits and eclipses of G2V and M5V stars ( $T_{\text{planet}} \simeq 1500$  K).

SNR for Primary Transit of G2V Star. Resolution= 500; $\tau=1,000$ sec							
Jupiter	M (mag)**			Earth	M (mag)		
Flat/mag	5	10	15	Flat/mag	5	10	15
1.0E-06	88.32	8.83	0.82	1.0E-06	0.74	0.07	0.01
1.0E-05	87.65	8.82	0.82	1.0E-05	0.73	0.07	0.01
1.0E-04	55.37	8.76	0.82	1.0E-04	0.46	0.07	0.01
1.0E-03	7.08	5.54	0.81	1.0E-03	0.06	0.05	0.01
SNR for Secondary Eclipse of G2V Star. Resolution= 500; $\tau=1,000$ sec							
Jupiter	M (mag)			Earth	M (mag)		
Flat/mag	5	10	15	Flat/mag	5	10	15
1.0E-06	9.12	0.91	0.09	1.0E-06	0.08	0.01	0.00
1.0E-05	9.05	0.91	0.09	1.0E-05	0.08	0.01	0.00
1.0E-04	5.71	0.90	0.09	1.0E-04	0.05	0.01	0.00
1.0E-03	0.73	0.57	0.09	1.0E-03	0.01	0.00	0.00
*Obtained by binning ~8 spectral channels. **Johnson magnitudes.							

SNR for Primary Transit of M5V Star. Resolution= 500; $\tau=1,000$ sec							
Jupiter	M (mag)			Earth	M (mag)		
Flat/mag	5	10	15	Flat/mag	5	10	15
1.0E-06	355.97	35.60	3.56	1.0E-06	2.96	0.30	0.03
1.0E-05	353.32	35.60	3.56	1.0E-05	2.94	0.30	0.03
1.0E-04	224.16	35.33	3.56	1.0E-04	1.85	0.29	0.03
1.0E-03	28.76	22.42	3.53	1.0E-03	0.24	0.19	0.03
SNR for Secondary Eclipse of M5V Star. Resolution= 500; $\tau=1,000$ sec							
Jupiter	M (mag)			Earth	M (mag)		
Flat/mag	5	10	15	Flat/mag	5	10	15
1.0E-06	88.45	8.85	0.88	1.0E-06	0.75	0.07	0.01
1.0E-05	87.77	8.84	0.88	1.0E-05	0.74	0.07	0.01
1.0E-04	55.28	8.78	0.88	1.0E-04	0.47	0.07	0.01
1.0E-03	7.06	5.53	0.88	1.0E-03	0.06	0.05	0.01

Examination of Table 6 shows that in the photon noise limit (flat field noise coefficient  $\beta \leq 10^{-5}$ ), it is possible to achieve very high SNR on Jupiter transits, SNR = 50 – 100 for GV stars and 100 - 300 for MV stars, in each spectral resolution element for transits seen against M = 5 mag bright stars. It would even possible to detect the spectrum of an Earth-sized planet, albeit one that could hardly be called habitable at a temperature of 1500 K. Overall, this spectroscopic performance will enable high quality observations of the wide variety of transiting planets that will be known by the time JWST flies. These data will place fundamental constraints on contemporary theories at much more stringent levels compared to present day results from Spitzer (Figure 3).

However, for  $\beta \geq 10^{-5}$ , the effects of various non-photon noise sources become critical, and  $\beta \sim 10^{-3}$  can render the transit undetectable. NIRCcam's actual performance will depend on the repeatability and stability of the NIRCcam detector arrays, detector electronics, optical artifacts (including any fringing produced by the grisms), as well as the calibration scheme used for the observations. Spitzer results show that  $\beta = 10^{-4}$  is possible for IRAC and MIPS photometry, but ongoing efforts to push below  $\beta = 10^{-3}$  for IRS spectra have proven difficult.<sup>9</sup> Dithering slitless NIRCcam grism observations may be able to reduce flat-field noise to below that of the Spitzer IRS. We will need to monitor and remove gain fluctuations of the form  $G(\lambda, \text{time}, \text{pixel})$  to reach the best performance listed in the table. Calibration steps may include: 1) observations of stars of similar spectral type observed as close in time as possible to the transit; 2) spatial dithering to help average over pixel-to-pixel variations; and 3) extracting spectra for other sources in the NIRCcam frame to monitor common-mode gain drifts. Success in pushing to low values of  $\beta$  will depend on the extent that the gain fluctuations are separable into  $G(\lambda, \text{time}, \text{pixel}) = G_1(\lambda, \text{pixel})G_2(\text{time}, \text{pixel})$  functions that can be determined from the data. In this regard, the intrinsic multi-object nature of the NIRCcam grism will offer many advantages over existing

Spitzer datasets. The NIRCam test program should include procedures to help us to assess the stability of the grism mode.

## 5. NEW SCIENCE FRONTIERS

We have described how NIRCam grism observations will record high quality near-IR spectra of transiting exoplanets in exposures of under 1000 s. This great leap in observational capability is likely to drive a similarly large leap in exoplanet science. Photometric variations in Spitzer observations have recently been used to derive a surface temperature map for an exoplanet, the hot Jupiter HD 189733b.<sup>10</sup> High SNR NIRCam grisms observations of this and other similar exoplanets should reveal how the spectra change between the day and night sides of these externally heated planets. It is predicted that the night sides of these planets should show strong CH<sub>4</sub> absorptions, while the externally heated day sides should exhibit weak CH<sub>4</sub> and strong CO.<sup>11</sup> Measurements of these and other features will reveal much about the atmospheric composition and structure of exoplanets as well as provide more insight into how they are affected by their nearby stars.

Time resolved spectra of exoplanets can also be used to make spatial maps of their surfaces and distinguish between their atmospheric models. Depending on orbital parameters, transiting exoplanets can take on the order of 2000 s to fully disappear behind their parent stars in a secondary eclipse. This would allow on the order of 4 high quality spectroscopic observations, each sampling different regions of the exoplanet's surface or atmosphere. Exoplanet models predict different amounts of limb darkening, and JWST time resolved observations over secondary eclipse should be adequate for measuring this darkening well enough to discriminate between different planetary atmosphere models.<sup>12</sup> NIRCam grism observations are ideal for these observations because they are free of spectrophotometric errors induced by fixed slits (when any pointing jitter or drifts are present).

The NIRCam grisms are also very well suited for observing resolved planetary mass companions to nearby stars. Ground-based adaptive optics surveys are starting to find spatially resolved planetary mass companions to nearby brown dwarfs.<sup>13</sup> Numerous faint and presumably very low mass objects have also been found near pre-main-sequence stars in nearby star forming regions. More of both kinds of these objects are likely to be found by the time JWST is launched, and the high sensitivity and spatial resolution of the NIRCam grisms are ideal for obtaining their spectra. Slitless Hubble Space Telescope STIS observations have studied emission line jets from young T Tauri stars,<sup>14</sup> and NIRCam grism observations of such objects would reveal the sizes, excitations, and column densities of such jets within  $\sim 10$  AU of nearby T Tauri stars, probing the radiation environments and gas densities of their planet-forming regions.

Finally, NIRCam grism observations are also idea for wide-field imaging surveys of emission line galaxies. This would allow galaxies to be imaged in high equivalent width (relatively bright) lines, effectively operating as a multi-object integral field unit (IFU). this would be a unique capability for JWST. H $\alpha$ , Pa $\alpha$ , and other lines are likely to be detectable in many objects, providing redshifts in addition to emission line images. However, we do not discuss any of these applications further since this document is focused on exoplanets.

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